



3731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Richard S. Kusleika et al.

Serial No.: 09/936,248

Examiner: Unknown

Filing Date: September 7, 2001

Group Art Unit: 3731

For: MINIMALLY INVASIVE MEDICAL DEPLOYMENT AND RETRIEVAL SYSTEM

Docket No.: 19369/110/101

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 27th day of June, 2002.

By: Melissa A. Abeldgaard
Melissa A. Abeldgaard

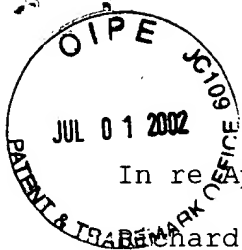
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We are transmitting herewith the attached:

- ☐ A check in the amount of \$ _____ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established.
- ☒ Other: Supplemental Information Disclosure Statement, Form PTO-1449, and Copies of References Cited.
- ☒ Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 14-0620.

By: Lawrence M. Nawrocki
Lawrence M. Nawrocki
Reg. No. 29,333

NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street N.E.
Minneapolis, Minnesota 55413
Telephone: (612) 331-1464
Facsimile: (612) 331-2239



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6152
7-11-02

In re Application of:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on this 27th day of June, 2002

By: Melissa A. Abelsgaard
Melissa A. Abelsgaard

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

RICHARD S. KUSLEIKA ET AL.

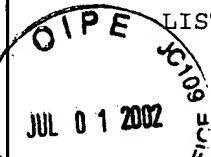
By his attorney,

Dated:

June 27, 2002

Lawrence M. Nawrocki
Lawrence M. Nawrocki, Reg. No. 29,333
NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street Northeast
Minneapolis, MN 55413
Telephone: (612) 331-1464
Facsimile: (612) 331-2239

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|--|------------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: 19369/110/101 | Serial No.: 09/936,248 |
|  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: | |
| | Richard S. Kusleika et al. | |
| | Filing Date | Group Art: |
| | September 7, 2001 | 3731 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|----------------|-------|-----------|----------------------------|
| AA | 5,814,064 | 09/29/98 | Daniel et al. | 606 | 200 | 03/06/97 |
| AB | 5,827,324 | 10/27/98 | Cassell et al. | 606 | 200 | 03/06/97 |
| AC | 6,001,118 | 12/14/99 | Daniel et al. | 606 | 200 | 10/03/97 |
| AD | 6,171,327 | 01/09/01 | Daniel et al. | 606 | 200 | 02/24/99 |
| AE | 6,245,089 | 06/12/01 | Daniel et al. | 606 | 200 | 09/30/99 |
| AF | | | | | | |
| AG | | | | | | |

U.S. PATENT APPLICATION PUBLICATIONS

| | Document No. | Date | Name | Class | Sub Class |
|----|-----------------|----------|----------------------|-------|-----------|
| AH | 2001/0012951 A1 | 08/09/01 | Mark C. Bates et al. | 606 | 200 |
| AI | | | | | |

FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|----------------|----------|---------|-------|-----------|--------------------|
| AJ | WO 01/12082 A1 | 02/22/01 | PCT | A61B | 17/22 | X |
| AK | WO 01/45592 A1 | 06/28/01 | PCT | A61F | 2/01 | X |
| AL | WO 02/11627 A2 | 02/14/02 | PCT | A61B | 17/22 | X |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
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| AM | |
| AN | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 9; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.